



ATTORNEY DOCKET NO. Q61858
PATENT APPLICATION

#3
MP
3/11/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Keiichi YAMAUCHI

Appln. No. 09/715,009

Group Art Unit: 2651

Confirmation No.: 7924

Examiner: Not Yet Assigned

Filed: November 20, 2000

For: APPARATUS FOR AND METHOD OF RECORDING AND REPRODUCING
INFORMATION

RECEIVED

MAR 08 2002

Technology Center 2600

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Darryl Mexic
Registration No. 23,063

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860
Date: March 7, 2002



ATTORNEY DOCKET NO. Q61858
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Keiichi YAMAUCHI

Appln. No. 09/715,009

Confirmation No.: 7924

Filed: November 20, 2000

For: APPARATUS FOR AND METHOD OF RECORDING AND REPRODUCING
INFORMATION

Group Art Unit: 2651

Examiner: Not Yet Assigned

RECEIVED

MAR 08 2002

Technology Center 2600

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

INFORMATION DISCLOSURE STATEMENT
ATTORNEY DOCKET NO. Q61858
U.S. Appln. No. 09/715,009

request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a Statement can be made, a Statement is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



Darryl Mexic
Registration No. 23,063

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

Date: March 7, 2002